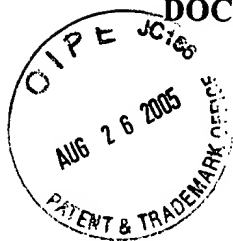


DOCKET NO.: MSFT-0123/154577.1

PATENT



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In Re Application of:**

**Marco DeMello et al.**

**Serial No.: 09/604,564**

**Group Art Unit: 2131**

**Filing Date: June 27, 2000**

**Examiner: Not Yet Assigned**

**For: SYSTEM AND METHOD FOR ACTIVATING A RENDERING DEVICE IN  
A MULTI-LEVEL RIGHTS-MANAGEMENT ARCHITECTURE**

DATE OF DEPOSIT: 11-3-00

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH  
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TYPED NAME: PETER M. ULLMAN  
REGISTRATION NO.: 43,963

Office of Initial Patent Examination  
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Attention:

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a Corrected Filing Receipt is respectfully requested. The requested changes are noted thereon, as well as listed below.
2. There is an error with respect to the following data which is:

☒ **incorrectly entered; and/or**

☒ **omitted.**

<i>Error in</i>	<i>Correct data</i>
<b><i>Applicants' names:</i></b> the names of three of the inventors are either misspelled or incomplete on the Filing Receipt	Marco A. DeMello Attila Narin <b>Venkateshaiah Setty</b> Pavel Zeman <b>VinayKrishnaswamy</b> John L. Manferdelli Frank D. Byrum Leroy B. Keely Yoram Yaacovi <b>Jeffrey A. Alger</b>
<b><i>Applicant's address:</i></b> the residence of inventor Venkateshaiah Setty is missing	<b>Venkateshaiah Setty</b> Bellevue, Washington

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

Date: 11-3-00



**PETER M. ULLMAN**  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/604,564	06/27/2000	2131	1350	MSFT- 0123/154577.1	5	48	5

Peter M Ullman  
Woodcock Washburn Kurtz Mackiewicz & Norris LLP  
One Liberty Place 46th Floor  
Philadelphia, PA 19103

## FILING RECEIPT



\*OC00000005462116\*

Date Mailed: 10/10/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Marco A. DeMello, Redmond, WA ;  
Attila Narin, Kirkland, WA ;  
Venkateshaiah Setty, Residence Not Provided; *Venkateshaiah Setty, Bellevue, WA*  
Pavel Zeman, Kirkland, WA ;  
Vinay Krishnaswamy, Woodinville, WA ; - *Vinay Krishnaswamy*  
John L. Manfredelli, Redmond, WA ;  
Frank D. Byrum, Seattle, WA ;  
Leroy B. Keely, Portola Valley, CA ;  
Yoram Yaacovi, Redmond, WA ;  
Jeff Alger, Redmond, WA ; *Jeffrey A. Alger*

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 10/10/2000

## Title

System and method for activating a rendering device in a multi-level rights-management architecture

## Preliminary Class



RECEIVED BY THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

**Paper:** Response to Notice to File Missing Parts of Application; Copy of Notice to File Missing Parts of Application; *executed* Declaration and Power of Attorney; Associate Power of Attorney; Request for Corrected Filing Receipt; Copy of Official Filing Receipt showing correction in red ink; Check for \$130.00; and Return Postcard

**Applicant(s) :** Marco A. DeMello, *et al.*

**Title:** SYSTEM AND METHOD FOR ACTIVATING A RENDERING DEVICE IN A MULTI-LEVEL RIGHTS-MANAGEMENT ARCHITECTURE

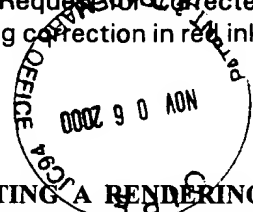
**Serial No.:** 09/604,564

**Filed:** June 27, 2000

**Docket No.:** MSFT-0123/154577.1

**Date Sent:** November 3, 2000

P. Ullman/R. Ader



✓



MSFT-0123/154577.1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Marco A. DeMello, ~~Attila~~ Narin,  
Venkateshaiah Setty, Pavel Zeman, Vinay Krishnaswamy,  
John L. Manferdelli, Frank D. Byrum, Leroy B. Keely,  
Yoram Yaacovi and Jeffrey A. Alger

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

For: SYSTEM AND METHOD FOR ACTIVATING A RENDERING DEVICE IN A  
MULTI-LEVEL RIGHTS-MANAGEMENT ARCHITECTURE

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;  
and

I believe that I am the original, first and sole inventor (if only one name is listed below)  
or an original, first and joint inventor (if plural names are listed below) of the subject  
matter which is claimed and for which a

☒ Utility Patent

☐ Design Patent.

is sought on the invention, whose title appears above, the specification of which:

☐ is attached hereto.

☒ was filed on June 27, 2000 as Serial No. 09/604,564.

☐ said application having been amended on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified  
specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all  
information known to be material to the patentability of this application in accordance  
with 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a-d) of any **foreign  
application(s)** for patent or inventor's certificate listed below and have also identified  
below any foreign application for patent or inventor's certificate having a filing date  
before that of any application on which priority is claimed.

Priority Claimed (If X'd)	Country	Serial Number	Date Filed
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

I hereby claim the benefit under 35 U.S.C. §120 of any **United States application(s)** listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to be material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

Serial Number	Date Filed	Patented/Pending/Abandoned
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States **provisional application(s)** listed below:

Serial Number	Date Filed
_____	_____
_____	_____

I hereby appoint the following persons as attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

**Katie E. Sako**      **Registration No. 32,628**

**Daniel D. Crouse**      **Registration No. 32,022**

of **MICROSOFT CORPORATION**, One Microsoft Way, Redmond, WA 98052 and

**Steven J. Rocci**      **Registration No. 30,489**

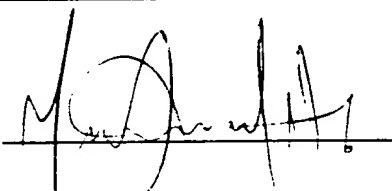
**Peter M. Ullman**      **Registration No. 43,963**

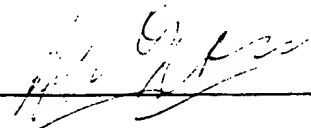
of **WOODCOCK WASHBURN KURTZ MACKIEWICZ & NORRIS LLP**, One Liberty Place – 46<sup>th</sup> Floor, Philadelphia, Pennsylvania 19103.


Please address all telephone calls and correspondence to:

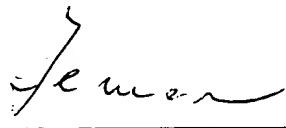
Peter M. Ullman  
**WOODCOCK WASHBURN KURTZ  
MACKIEWICZ & NORRIS LLP**  
One Liberty Place – 46<sup>th</sup> Floor  
Philadelphia, PA 19103  
Telephone: (215) 568-3100

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

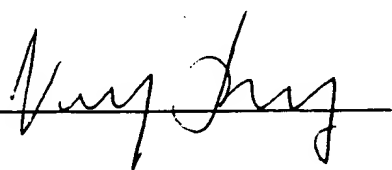
<b>Name:</b> Marco A. DeMello	<b>Signature:</b> 
<b>Mailing Address:</b> 6606 152 <sup>nd</sup> Avenue Redmond, WA 98052	<b>Date of Signature:</b> 10/13/2007
<b>City/State of Actual Residence</b> Redmond, WA	<b>Citizenship:</b> <u>Brazil.</u>

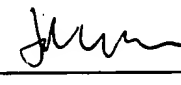
<b>Name:</b> Attila Narin	<b>Signature:</b> 
<b>Mailing Address:</b> 12221 131 <sup>st</sup> Lane NE, Apt. G-401 Kirkland, WA 98034 A.N.	<b>Date of Signature:</b> 10/16/00
<b>City/State of Actual Residence</b> Kirkland, WA A.N. Bothell, WA	<b>Citizenship:</b> <u>Turkey</u>

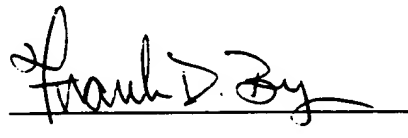
<b>Name:</b> Venkateshaiah Setty	<b>Signature:</b> 
<b>Mailing Address:</b> 15205 NE 16 <sup>th</sup> Place, Apt. 2 Bellevue, WA 98007	<b>Date of Signature:</b> 10/12/00
<b>City/State of Actual Residence</b> Bellevue, WA	<b>Citizenship:</b> <u>India</u>


<b>Name:</b> Pavel Zeman	<b>Signature:</b> 
<b>Mailing Address:</b> 13116 NE 108 <sup>th</sup> Street Kirkland, WA 98033	<b>Date of Signature:</b> 10/12/00
<b>City/State of Actual Residence</b> Kirkland, WA	<b>Citizenship:</b> <u>Czech Republic</u>





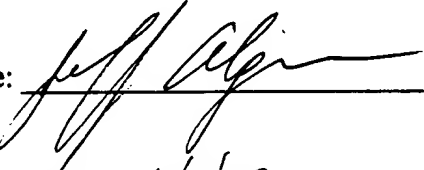
<b>Name:</b> Vinay Krishnaswamy	<b>Signature:</b> 
<b>Mailing Address:</b> 23319 NE 142 <sup>nd</sup> Pl. Woodinville, WA 98072	<b>Date of Signature:</b> <u>10/12/00</u>
<b>City/State of Actual Residence</b> Woodinville, WA	<b>Citizenship:</b> <u>India</u>

<b>Name:</b> John L. Manferdelli	<b>Signature:</b> 
<b>Mailing Address:</b> 7921 245 <sup>th</sup> Way NE Redmond, WA 98053	<b>Date of Signature:</b> <u>10/12/00</u>
<b>City/State of Actual Residence</b> Redmond, WA	<b>Citizenship:</b> <u>United States</u>

<b>Name:</b> Frank D. Byrum	<b>Signature:</b> 
<b>Mailing Address:</b> 1200 Western Avenue, #1210 Seattle, WA 98101	<b>Date of Signature:</b> <u>10-16-00</u>
<b>City/State of Actual Residence</b> Seattle, WA	<b>Citizenship:</b> <u>United States</u>

<b>Name:</b> Leroy B. Keely	<b>Signature:</b> 
<b>Mailing Address:</b> 210 Gabarda Way Portola Valley, CA 94028	<b>Date of Signature:</b> <u>10-12-00</u>
<b>City/State of Actual Residence</b> Portola Valley, CA	<b>Citizenship:</b> <u>United States</u>

<b>Name:</b> Yoram Yaacovi	<b>Signature:</b> 
<b>Mailing Address:</b> 17411 NE 31 <sup>st</sup> Ct. Redmond, WA 98052	<b>Date of Signature:</b> <u>10/12/00</u>
<b>City/State of Actual Residence</b> Redmond, WA	<b>Citizenship:</b> <u>Israel</u>

<b>Name:</b> H.  Jeffrey A. Alger	<b>Signature:</b> 
<b>Mailing Address:</b> 24066 NE 53 <sup>rd</sup> Pl. Redmond, WA 98053	<b>Date of Signature:</b> <u>10/19/00</u>
<b>City/State of Actual Residence</b> Redmond, WA	<b>Citizenship:</b> <u>United States</u>